** ORDER NUMBER: \_\_\_\_\_\_\_\_\_\_\_\_\_\_**

**ECSE SELL TRADE ORDER FORM**

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Customer ID # \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_** | | **Customer Account # \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_** | | | | **Date Order Received \_\_\_\_\_\_\_\_\_\_\_\_** | |
| **Time Order Received \_\_\_\_\_\_\_ am/pm** | | **Time Order Executed \_\_\_\_\_\_\_\_\_\_ am/pm** | | | **Time Order cancelled \_\_\_\_\_\_\_\_\_ am/pm** | | |
| **Order Type:** | **🗆 Day Order** | | **🗆Good Till Cancel** | **🗆 All or None** | | | **🗆 Do Not Reduce** |

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **ACCOUNT HOLDER** | | | | | | | | | | |
| Account Type: |  | |  | | |  | | |  | |
| Title: |  |  | | |  | |  | | |  |
| Surname: Click here to enter text. | | | | First Name: Click here to enter text. | | | | Middle Name: Click here to enter text. | | |
| Corporate Name: Click here to enter text. | | | | | | Email: Click here to enter text. | | | | |

|  |  |  |  |
| --- | --- | --- | --- |
| **TRADE ORDER DETAILS** | | | |
| CSD Account # \_\_\_\_\_\_\_\_\_\_\_\_\_ | Registry Account # \_\_\_\_\_\_\_\_\_ | Registry ID # \_\_\_\_\_\_\_\_\_\_\_\_\_\_ | Registry Transfer # \_\_\_\_\_\_\_\_\_ |

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Security Name** | **Security Symbol** | **Quantity** | **Limit Price (EC$ or %)** | **Projected Cost (EC$)** |
| Click here to enter text. | Click here to enter text. | Click here to enter text. | Click here to enter text. | Click here to enter text. |
| **Total Projected Cost** |  | | | Click here to enter text. |
| Add: Commission/Mark-up Click here to enter text.% | Click here to enter text. |
| Add: Transaction Charges Click here to enter text. | Click here to enter text. |
| **Total Projected Settlement Amount** | Click here to enter text. |

|  |
| --- |
| **Trade Type/Order:** |

|  |  |  |
| --- | --- | --- |
| **ORDER MODIFICATION PARAMETERS SET BY CUSTOMER (OPTIONAL)** | | |
| Order Modified to: \_\_\_\_\_\_\_ | Firm’s Agent Responsible for Modification: \_\_\_\_\_\_\_ | Date & Time of Modification: \_\_\_\_\_\_\_ am/pm |
| Order Modified to: \_\_\_\_\_\_\_ | Firm’s Agent Responsible for Modification: \_\_\_\_\_\_\_ | Date & Time of Modification: \_\_\_\_\_\_\_ am/pm |

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **PAYMENT OPTION** | | | | |
|  |  |  | Click here to enter text. | Click here to enter text. |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **MARKETING INFORMATION: HOW DID YOU FIND OUT ABOUT US?** | | | | | |
|  |  |  |  |  | Click here to enter text. |

*By signing below, you authorize us to execute the above-mentioned purchase request on your behalf.*

CUSTOMER SIGNATURE \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ DATE \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

|  |  |
| --- | --- |
| **OFFICIAL USE ONLY** | |
| FOR DISCRETIONARY ACCOUNTS (Only in this case will the immediate section above remain incomplete):  I hereby verify that the Customer has given the firm written authorization to exercise investment discretion over account # \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ for which the transaction is being executed. I have reviewed this order and determined that there is a reasonable basis in determining that this investment is consistent with the investment objectives established by the Customer for this account.  PRINCIPAL’S SIGNATURE: | |
| REGISTERED REPRESENTATIVE’S NOTES: | |
| REGISTERED REPRESENTATIVE’S DETAILS:  LICENSE #: | SIGNATURE: |
| REGISTERED PRINCIPAL’S DETAILS:  LICENSE #: | SIGNATURE: |